BAKER BOTTS LIE EXPRESS MAIL LABEL No. EU2063866469IS **14 FEBRUARY 2002** TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) ATTORNEY'S DOCKET NO. CONCERNING A FILING UNDER 35.U.S.C. 371 A35011 PCT USA 049572 INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/AU01/00717 15 JUNE 2001 15 JUNE 2000 TITLE OF INVENTION LED LAMP APPLICANT(S) FOR DO/EO/US Balu Jeganathan and John Albert Montagnat Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I). 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. [] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [] has been transmitted by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US). 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. [] A copy of the International Search Report (PCT/ISA/210) a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made. 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [1] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409) 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [] A FIRST preliminary amendment. [] A SECOND or SUBSEQUENT preliminary amendment. 14. [] A substitute specification. 15. [] A change of power of attorney and/or address letter. 16. Other items or information: a. a copy of the International Search Report (PCT/ISA/210) b. a copy of the International Preliminary Examination Report (PCT/IPEA/409) Specification 8 pages, drawings 6 sheets, claims 2 pages First Page of International Publication WO 01/97287 A1 (with abstract) PCT/IB/308

PCT/ISA/220 with International Search Report

Small Entity Verification Statement

PCT/IPEA/416 with International Preliminary Examination Report

PCT/IPEA/402 PCT/IPEA/401

INTERNATIONAL APPLICATION NO. PCT/AU01/00717	INTERNATIONAL FILING DATE 15 JUNE 2001			PRIORITY DATE CLAIMED 15 JUNE 2000			
17. [] The following fees are submitted:				CALCULATIONS PTOUSEONLY			
Basic National Fee (37 CFR 1.492(a)(1)-(5):							
Neither international preliminary examination fee (37 CFR 1.482)							
Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,040							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5) \$890.00							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO(1.492(a)(2)) \$740.00							
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$710.00							
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =					1,040		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492)(e)).							
Claims	Number Filed	Number Extra	Rate	\$			
Total Claims	12 -20=	0	X \$ 18.00	\$_	0		
i Independent Claims	4 -3=	1	X \$ 84.00	\$	84		
Multiple dependent claim(s) (if applicable) + \$280.00				\$	280		
TOTAL OF ABOVE CALCULATIONS =				\$	1,404		
Reduction by ½ for filing by small entity, if applicable.				\$	702		
SUBTOTAL =				\$	702		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 Innorths from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE =				\$	702		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					40		
+ TOTAL FEES ENCLOSED =					742		
TOTAL FEES ENCLOSED =					Amt. refunded	\$	
					charged	i	
a. A check in the amount of \$ 702 & 40 to cover the above fees is enclosed.							
b. [] Please charge our Deposit Account No. 02-4377 in amount of \$ to cover the above fees. A copy of this sheet is enclosed.							
c. W The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to							
Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or							
(b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Ronald B. Hildreth BAKER BOTTS L.L.P.		Attorney: Ronald	B. Hildreth	/	PTO	O Reg: 19,498	
80 Rockefeller Plaza					14 FEBRUARY 2002		
ew York, New York 10112-4498				Date			
					Date		

Rec'd PCT/PTO 1 4 FEB 2002

BAKER BOTTS LLP

Attorney Docket Number: A35011 PCT USA

Title: LED LAMP

Use Space Below for Additional Information: